

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/736,999	12/16/2003	Gavriela D. Lavie	5760-14800	5749	
35690 7590 06/04/2007 MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C. P.O. BOX 398			EXAM	EXAMINER	
			RAYYAN, SUSAN F		
AUSTIN, TX	/8/6/-0398		ART UNIT PAPER NUMBER		
			2167		
			MAIL DATE	DELIVERY MODE	
			. 06/04/2007	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		Application No.	Applicant(s)			
Office Action Summary		10/736,999	LAVIE ET AL.			
		Examiner	Art Unit ·			
		Susan F. Rayyan	2167			
	The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply					
WHICI - Extens after S - If NO - Failure Any re	DRTENED STATUTORY PERIOD FOR REPLY HEVER IS LONGER, FROM THE MAILING DASIONS of time may be available under the provisions of 37 CFR 1.13 DIX (6) MONTHS from the mailing date of this communication. period for reply is specified above, the maximum statutory period we to reply within the set or extended period for reply will, by statute, the ply received by the Office later than three months after the mailing dipatent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION  (6(a). In no event, however, may a reply be time  (ii) apply and will expire SIX (6) MONTHS from the cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).			
Status						
1)🛛	Responsive to communication(s) filed on <u>08 March 2007</u> .					
2a)⊠ <sup>1</sup>	This action is FINAL. 2b) ☐ This action is non-final.					
3) 🗌	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
(	closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.					
Dispositio	on of Claims					
5)	Claim(s) <u>26-36</u> is/are pending in the application is a) Of the above claim(s) is/are withdraw Claim(s) is/are allowed. Claim(s) <u>26-36</u> is/are rejected. Claim(s) is/are objected to. Claim(s) are subject to restriction and/or	vn from consideration.				
Application	on Papers					
_	The specification is objected to by the Examiner	• '				
•	10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.					
	Applicant may not request that any objection to the c					
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority u	nder 35 U.S.C. § 119					
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some * c) None of:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.						
Attachment(	's) of References Cited (PTO-892)	4) 🔲 Interview Summary	(PTO-413)			
2) Notice 3) Inform	of Draftsperson's Patent Drawing Review (PTO-948) ation Disclosure Statement(s) (PTO/SB/08) No(s)/Mail Date	Paper No(s)/Mail Da 5) Notice of Informal Pa	ite			

Application/Control Number: 10/736,999 Page 2

Art Unit: 2167

#### **DETAILED ACTION**

1. Claims 1-25 are canceled.

2. Claims 26-36 are pending.

### Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 26,28-32, 34,36 are rejected under 35 U.S.C. 102(b) as being anticipated by US Patent Application Number 2002/0184065 issued to Cody Menard et al ("Menard").

As per claim 26 Menard anticipates:

detecting a change to a database system, wherein said detecting includes determining a value of an attribute of said database system (see paragraph 37, lines 1-9, databases, and see paragraph 38 lines 2-5, as identify patterns of data which allow for determining state of the system or problems that may be present);

comparing said determined value to a previously acquired value of said attribute of said database system (paragraph 39, lines 4-7, compares data points over time);

Art Unit: 2167

predicting the likelihood of the detected change affecting the operation of the database system (paragraph 39, predictive analysis estimates the time available until resources are depleted or until a failure occurs);

based on said comparing, generating an indication of the magnitude of said detected change of said system attribute((paragraph 39, lines 9-13, detects a trend and determines available time to failure occurs);

displaying said likelihood of the detected change affecting the operation of the database system and said indication of the magnitude of the detected change to a user (paragraph 39, GUI displays warnings indicating resources time of deletion or failure).

As per claim 28 same as claim arguments above and Menard anticipates: wherein said detected change indicates a change in response latency to an input provided to said database system(paragraph 53, monitor Oracle database activity).

As per claim 29 same as claim arguments above and Menard anticipates: wherein said attribute of said database system is related to the number and/or type of transactions executed by the database system(paragraph 53, monitor Oracle database activity).

As per claim 30 same as claim arguments above and Menard anticipates: wherein said attribute of said database system is related to timing information associated with transactions executed by the database system(paragraph 53, monitor Oracle database activity).

Application/Control Number: 10/736,999

Art Unit: 2167

As per claim 31 same as claim arguments above and Menard anticipates:

wherein said attribute of said database system is related to configuration information
associated with the database server or related to data schema of the database system
paragraph 53, monitor Oracle database activity).

Claim 32 is rejected based on the same rationale as claim 26 above.

Claim 34 is rejected based on the same rationale as claim 26 above.

Claim 36 is rejected based on the same rationale as claim 26 above.

## Claim Rejections - 35 USC § 103

- 4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 27,33,35 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent Application Number 2002/0184065 issued to Cody Menard et al ("Menard") and further in view of US Patent Number 6,782,345 B1 issued to Siegel et al ("Siegal").

Art Unit: 2167

As per claim 27 same as claim arguments above and Menard teaches predicting at least two outcomes related to said database system indicated by said detected change (paragraph 39, 12-13, as time till resources are depleted of failure occurs).

Menard does not explicitly teach subsequently monitoring the database system to detect whether one or more of the predicted outcomes has occurred and if one or more of the predicted outcomes has occurred, reporting the one or more occurred predicted outcomes to the user. Siegel does teach these limitations (see column 11 lines 50-60, re-initiating the diagnostic to verify the diagnostic to verify the system is operating within the specifications when operating according to revised parameters and paragraph 8, lines 10-20) to verify that the system is operating within the specifications. It would have been obvious to one of ordinary skill in the art at the time of the invention to combine Menard subsequently monitoring the database system to detect whether one or more of the predicted outcomes has occurred and if one or more of the predicted outcomes to the user to verify that the system is operating within the specifications (column 11, lines 54-55).

Claim 33 is rejected based on the same rationale as claim 27 above.

Claim 35 is rejected based on the same rationale as claim 27 above.

## Response to Arguments

5. Applicant's arguments filed March 8, 2007 have been fully considered but they are not persuasive.

Applicant's arguments with respect to claims 1-25 have been considered but are most in view of the new ground(s) of rejection.

Applicant argues prior art of record does not teach "predicting the likelihood of the detected change affecting the operation of the database system" and "displaying said likelihood of the detected change affecting the operation of the database system and said indication of the magnitude of the detected change to a user". Menard teaches "predicting the likelihood of the detected change affecting the operation of the database system" at paragraph 39, predictive analysis estimates the time available until resources are depleted or until a failure occurs), and "displaying said likelihood of the detected change affecting the operation of the database system and said indication of the magnitude of the detected change to a user," at paragraph 39, GUI displays warnings indicating resources time of deletion or failure.

#### **Contact Information**

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Susan F. Rayyan whose telephone number is 571-272-1675. The examiner can normally be reached on M-F, 7:30-4:00.

Application/Control Number: 10/736,999

Art Unit: 2167

Page 7

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Cottingham can be reached on 571-272-7079. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

SR 5/17/2007

JOHN COTTINGHAM
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2100